

PATENT



(Docket No. IN-5547)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Wolfgang BREMSER

Serial No.: 10/018,352

Filed: December 7, 2001

Group Art Unit: 1714

Examiner:

For: VARNISH AND ITS USE FOR PRODUCING VARNISH COATINGS AND COLOR-  
AND/OR EFFECT-PRODUCING MULTI-LAYER COATINGS

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that the attached correspondence comprising:

<input checked="" type="checkbox"/>	Information Disclosure Statement
<input checked="" type="checkbox"/>	From PTO-1449
<input checked="" type="checkbox"/>	Cited References
<input checked="" type="checkbox"/>	Discussion of Cited Art

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

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on

10/21/03

signature

Marjorie Ellis

(type or print name of person certifying)



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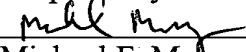
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**DISCUSSION OF CITED ART**

The applications listed below are copending with each other. The art cited to date is believed to be all of the art that was discussed in the background of each application, cited in a search report for a corresponding application for each application, cited in an office action for each application, and/or cited in United States Patent No. 6,506,836 or U.S. Serial No. 09/868,769 (equivalent to CA2355620, DE1986011, and DE19909752).

Serial No.	Filing Date	Inventors	Docket No.	Examiner Assigned
10/018,350	12-07-2001	Bremser	IN-5544	Unassigned
10/018,351	12-07-2001	Bremser	IN-5549	Marie L. Reddick
10/018,352	12-07-2001	Bremser	IN-5547	Unassigned
10/018,703	12-13-2001	Bremser	IN-5545	Sastri
10/148,427	5-29-2002	Bendix et al.	IN-5585	Egwim
10/148,428	5-29-2002	Bendix et al.	IN-5593	Unassigned
10/148,295	5-29-2002	Clauss et al.	IN-5594	Unassigned
10/169,473	6-28-2002	Bendix et al.	IN-5600	Unassigned
10/239,938	9-26-2002	Bendix et al.	IN-5622	Unassigned
10/257,119	10-08-2002	Bremser et al.	IN-5619	Unassigned
PCT/EP02/05701	10-10-2003	Bremser et al.	IN-5716	Unassigned

Respectfully submitted,

  
Michael F. Morgan, Esq. (Reg. No. 42,906)  
(248) 948-2355  
BASF Corporation  
26701 Telegraph Road  
Southfield, MI 48034-2442  
Date: 21 October 2003

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1.56.

☐ An International Search Report has no been provided yet. The Search Report and References will be submitted when received

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g) ), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

☒ Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

**COPIES PROVIDED:**

☒ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except as indicated below:

☐ Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, patent applications, and publications as indicated below:

☐ Under 35 U.S.C. §120, this application relies on the earlier filing date of prior application Serial No. [ ], filed on [ ]. The following references were submitted to and/or cited by the Office on this prior application and are therefore not required to be provided in this application:

Information Disclosure Statement  
Page 2

☐ In accordance with 37 C.F.R. §1.98(c), a copy of only [ ] is being submitted with this Information Disclosure Statement, and is cumulative of the following patents or publications listed on Form PTO-1449:

☐ Application Serial No. [ ] was published as Publication No. [ ]. The Bibliographic information for this publication is correct, but the abstract, specification, and claims are not those of [ ]. Therefore, the bibliographic page for Publication No. [ ] is provided with a copy of the specification as filed for [ ].

**FOREIGN LANGUAGE DOCUMENTS**

☐ There are no foreign language documents listed on Form PTO-1449.

☐ The following non-English language references were cited in the specification. The English language equivalents for these references are being submitted in substitution for the non-English references.

☒ English language abstract for the following non-English references are enclosed.

DE3546594  
EP358221  
EP732359

☐ Submitted herewith is an English translation of the following foreign language patents, publications or information or of portions of those patents, publications or information considered to be material:

☐ No English language translations of the foreign language patents, publications or information or parts thereof are readily available, except for those listed above.

☐ The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith:

☐ Submitted herewith is an English language version of a search report listing information not in the English language and indicating the degree of relevancy found by the European Patent Office, in satisfaction of the requirement (under 37 C.F.R. §1.98(a)(3)) for a concise explanation of the relevance of non-English information.

☐ A concise explanation of the relevance of the following listed non-English language information is included as presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information as set forth below:

☐ A concise explanation of the relevance of the following listed non-English language information is set forth in the above-identified specification:

**CERTIFICATION:**

☒ The Information Disclosure Statement transmitted herewith is being filed **within** three (3) months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b). Accordingly, it is believed that no certification or fee is due.

Information Disclosure Statement  
Page 3

☐ The Information Disclosure Statement transmitted herewith is being filed **after** three (3) months of the filing date of the application or the date of entry into the national stage of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311, whichever occurs first. Accordingly, I hereby certify that:

☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **OR**

☐ Applicant elects the option to pay the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under 37 C.F.R. §1.97(c). Please charge the **\$180.00** IDS submission fee to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

☐ The Information Disclosure Statement transmitted herewith is being filed **After a Final Action** under 37 C.F.R. §1.113, or **After a Notice of Allowance** under 37 C.F.R. §1.311, whichever occurs first, but **Before Payment of the Issue Fee**. Accordingly, I hereby certify that:

☐ Each statement of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the statement; 37 C.F.R. §1.97(e)(1); **OR**

☐ That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge as the person signing this certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this statement; 37 C.F.R. §1.97(e)(2); **AND**

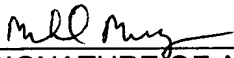
☐ Applicant hereby petitions for consideration of this Information Disclosure Statement; 37 C.F.R. §1.97(d)(2)(ii). Please charge the petition fee of **\$130.00** to BASF Corporation **Deposit Account No. 23-3425**. A duplicate of this paper is enclosed.

The Commissioner is authorized to charge BASF Corporation **Deposit Account No. 23-3425** for any additional fees due hereunder.

Reg. No.: **42,906**

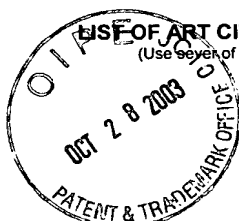
Tel. No.: ( **248** ) **948-2355**

Customer No. **26922**

  
\_\_\_\_\_  
SIGNATURE OF ATTORNEY and DATE  
**Michael F. Morgan    October 20, 2003**  
Type or print name of attorney  
**BASF Corporation**  
**26701 Telegraph Road**  
\_\_\_\_\_  
P.O. Address  
**Southfield, Michigan 48034-2442**  
\_\_\_\_\_

FORM PTO-1449  
(MODIFIED)US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Atty. Docket No. IN-5547



In re Application of: et al. BREMSER

Serial No.: 10/018,352

Filed: 12/07/2001

## U.S. PATENT DOCUMENTS

Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2003/0100673	5/29/03	Bendix et al	524	801	2/1/01
		2003/0143414	7/31/03	Bendix et al	428	500	3/10/01
		2002/0132921	9/19/02	Ramesh et al.	525	88	8/14/01
		2002/0103319	8/1/02	Ohrbom et al.	526	312	1/9/02
		2002/0086966	7/4/02	Weise et al.	526	312	1/30/02
		2002/0035289	3/21/02	Walter et al.	560	157	10/4/01
		2003/0091832	5/15/03	Ohrbom et al.	428	423.1	11/2/01
		2003/0078337	4/24/03	Bremser, et al.	524	502	4/3/01
		3,552,986	1/5/71	Bassemir	117	12	11/24/67
		3,577,476	5/4/71	Gurney	260	668	2/23/66
		4,085,168	4/18/78	Milkovich et al.	260	886	5/7/75
		4,413,036	11/1/83	Drexler et al.	428	458	10/29/81
		4,521,580	1/4/85	Turner et al.	526	307	8/29/83
		4,533,701	8/6/85	Kusumoto et al.	525	370	8/16/83
		4,636,545	1/13/87	König et al.	524	457	2/19/85
		4,656,226	4/7/87	Hutchins et al	525	93	9/30/85
		4,677,003	1/30/87	Redlich et al.	427	373	4/30/85
		5,047,454	9/10/91	Cowles et al.	523	500	10/14/88
		5,126,393	6/30/92	Blum et al.	524	538	10/24/90
		5,322,715	6/1/94	Jouck et al.	427	409	12/11/90
		5,385,996	1/31/95	Rizzardo et al.	526	240	6/7/93
		5,395,903	3/7/95	Charmot et al.	526	220	11/10/93
		5,516,559	5/14/96	Röckrath et al.	427	407	2/3/93
		5,521,229	5/28/96	Lu et al.	522	40	6/27/95
		5,565,508	10/15/96	Hoeneel et al	523	414	4/23/94
		5,601,880	2/11/97	Schwarte et al.	427	407.1	8/5/94
		5,670,557	9/23/97	Dietz et al.	522	184	7/25/95

EXAMINER

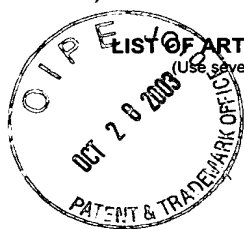
DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant has modified this form by deleting the word "prior".

FORM PTO-1449  
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PATENT AND TRADEMARK OFFICE

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LIST OF ART CITED BY APPLICANT  
(Use cover of sheets if necessary)

In re Application of: et al. BREMSER

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Examiner initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,773,543	6/30/98	Rizzardo et al.	526	215	11/2/94
		5,830,927	11/3/98	Vanderhoff et al.	522	81	8/5/97
		5,830,928	11/3/98	Falerf et al.	523	502	10/25/96
		5,854,353	12/29/98	Knoll et al.	525	314	12/16/96
		5,857,998	1/12/99	Barry	604	96	6/2/97
		5,859,112	1/12/99	Overbeck et al.	524	460	4/27/95
		5,905,132	5/18/99	Wegner et al.	528	45	10/20/94
		5,959,026	9/28/99	Abusleme et al	524	758	10/2/97
		5,969,030	10/19/99	Grandhee	524	457	7/24/95
		5,972,809	10/26/99	Faler et al.	442	103	2/2/98
		5,990,221	11/23/99	Dames et al.	524	457	7/8/97
		6,020,438	2/1/00	Lubnin et al.	525	317	6/9/98
		6,046,259	4/4/00	Das et al.	524	40	6/27/96
		6,100,350	8/8/00	Wilczek et al.	526	82	2/18/97
		6,140,386	10/31/00	Vanderhoff, et al.	522	78	10/16/98
		6,160,049	12/12/00	Mathauer et al.	524	804	6/30/97
		6,162,886	12/19/00	Bremer et al.	526	318.42	1/29/98
		6,221,949	4/24/01	Gross et al.	524	451	10/25/95
		6,235,857	5/22/01	Rizzardo et al.	526	220	10/4/96
		6,346,591	2/12/02	Ohrbom et al.	526	312	5/21/99
		6,403,709	6/11/02	Ramesh et al.	525	95	12/22/00
		6,503,983	1/7/03	Morrison et al.	524	804	6/18/98
		6,506,836	1/14/03	Bremser, et al.	525	64	12/18/99
		6,534,588	3/18/03	Löcken et al.	524	591	11/18/99
		6,566,476	5/20/03	Ohrbom et al.	526	312	10/4/01

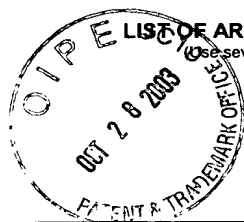
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In re Application f: et al. BREMSER

Serial No.: 10/018,352

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Examiner initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		CA2004988	89.12.08	CA	C08F	2/24	X	
		CA2086156	92.12.23	CA	C09D	175/04	X	
		CA2103595	92.31.01	CA	C08F	2/38	X	
		CA2127919	94.07.13	CA	C08L	57/00	X	
		CA2181934	96.23.07	CA	C08F	282/00	X	
		CA2259559	97.03.07	CA	C08F	8/34	X	
		CA2205030	97.09.05.	CA	C08F	2/38	X	
		DE 35 46 594	17.12.92	DE	C08F	212/06	X	
		EP3582221	08.09.89	EP	C09D	5/44		X
		EP401565	15.05.90	EP	C09D	5/02	X	
		EP498583	31.01.92	EP	B01J	19/18	X	
		EP732359	09.03.96	EP	C08K	5/00		X
		EP755946	12.07.96	EP	C08F	2/22	X	
		WO9106535	16.05.91	WO	C07C	409/16	X	
		WO9213903	20.08.92	WO	C08F	002/38	X	
		WO9322351	11.11.93	WO	C08F	2/42	X	
		WO9222355	23.12.92	WO	A63B	37/12	X	
		WO9801478	125.01.98	WO	C98F	2/38	X	
		WO9837104	27.08.98	WO	C08F	2/48	X	
		WO9910413	04.03.99	WO	C08J	3/00	X	
		WO9915597	01.04.99	WO	C09D	133/00		X


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FORM PTO-1449 (MODIFIED)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	<b>Atty. Docket No. IN-5547</b>
		<b>In re Application of: et al. BREMSER</b>  <b>Serial No.: 10/018,352</b>  <b>Filed: 12/07/2001</b>
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
AA	BARTOL, et al.,	09/263,426, 03/05/1999
AB	RINK et al.,	09/830,694, filed 04/27/2003
AC	MEISEUBURG, et al.,	09/926,532, filed 11/16/2001
AD	MANGELS, et al.,	10/088,376, filed 03/11/2002
AF	NICKOLAUS et al.,	10/250,586, filed 07/02/2003
AA	RAMESH, et al.,	10/455,066, filed 06/05/2003
AB	BAUMGART, et al.,	10/049,656, filed 02/13/2002
AC	RINK, et al.,	10/049,607, filed 02/14/2002
AD	NICKOLAUS et al.,	10/250,694, 07/03/2003
AE	BENDIX et al.,	10/148,428, 05/29/2002
AF	CLAUSS,	10/148,295, filed 05/29/2002
AF	BREMSER,	10/018,703, filed 12/13/2001
AF	BREMSER,	10/018,351, filed 12/07/2001
AF	BREMSER,	10/018,350, filed 12/07/2001
AC	BENDIX et al.,	10/148,427, filed 05/29/2002
AD	<b>Derwent Accession No. 1996-056019, English Abstract for JP07316242</b>	
AF	<b>Derwent Accession No.1996-094214, English Abstract for JP08003208</b>	
AC	<b>Derwent Accession No. 1986-152526, English Abstract for NIPPON, JP61085417</b>	
AD	English Abstract for, DALIBOR, DE 3546594, filed 87.12.10	
AE	English Abstract for GRUTTER, EP 358 221, filed 90.03.14	
AF	English Abstract for KNOLL, et al., EP 732 359, filed 96.09.18	
AF		
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